RANDUG Annual Conference 2017

FIS Retirement Solutions

Innovation in recordkeeping that lets you focus on what matters.

Sitting at the intersection of technology and retirement, FIS™ is committed to helping you improve efficiencies and reduce costs so you can invest in what matters most – improving participant outcomes.

November 12-14, 2017

FIS
Serving the unique needs of financial services organizations

SOLUTION BREADTH

+400
solutions

$300M
invested annually in next-generation advancements

BPO, consulting
and transformational services

MARKET REACH

848M
cards processed

10B
financial trade records processed per hour

$9T
moved globally every year

INDUSTRY EXPERTISE

+40
years FinTech experience

+3,000
consultants

+55,000
employees worldwide

210
operational facilities
(81 in the U.S.)

Dedicated market practices

CLIENT RELATIONSHIPS

+20,000
clients

9/10
top global banks

~75%
U.S. credit unions

18/25
top U.S. retailers

Federal government and 40 state governments

Leading the way means being prepared for what's next

We provide unmatched technology services covering the entire financial industry.

BANKING & PAYMENTS	INSTITUTIONAL & WHOLESALE	CONSULTING
BANKING	ASSET MANAGEMENT	BANKING & PAYMENTS
PAYMENTS	CAPITAL MARKETS	WEALTH & ASSET MANAGEMENT
WEALTH MANAGEMENT	INSURANCE	CAPITAL MARKETS
CORPORATE LIQUIDITY	NON-FINANCIAL	TECHNOLOGY

DIGITAL/CHANNEL

RISK, INFORMATION SECURITY, COMPLIANCE

OUTSOURCED SERVICES

Wealth & Retirement

Empowering clients by turning experience into insights

Across the wealth and retirement sector we bring greater efficiency, flexibility and scalability

1,000
Clients

75,000
Advisors

1.5M
Trust Accounts

50M
Retirement Plan Investors

$7T
Assets

FIS Retirement Solutions

Bringing industry leading solutions together from Omni, Relius and Reliance Trust, FIS is positioned to empower small and large retirement plan providers with a comprehensive, integrated suite of retirement solutions

FIS Retirement Solutions

FIS now brings every component a 401(k) provider needs to deliver services to plan sponsors including:

- Recordkeeping and plan administration technology
- Fiduciary and compliance solutions
- Collective investment trusts
- Custody and directed trustee services
- Financial planning, asset allocation and retirement readiness
- Back-office outsourcing solutions

FIS Retirement Solutions

FIS offers every component a 401(k) provider needs to deliver services including:

CLIENT EXPERIENCE

Deliver technology solutions for recordkeeping, plan admin, plan documents and government filings from Omni & Relius.

BUSINESS PROCESS OUTSOURCING

Focus on growing your business while FIS provides predictable, reliable and high-quality services.

CONNECT

Minimize redundant data entry by automating the flow of information between FIS and other applications.

CUSTODY & TRUST

Gain access to trust solutions from Reliance Trust, an FIS company, including directed trustee, custody, paying agent, CITs and 3(38) investment services.

AUTOMATION

Efficiently manage routing, tracking and engagement systems for all activity with workflow, robotics and straight-through-processing.

INTEGRATED COMMUNICATION

Provide customizable electronic and print communications, statements, enrollment kits and fund fact sheets.

Featured Services

Reliance Trust Company

- **Servicing retirement plans for 20+ years**
- **Services include:**
  - Directed Trustee & Custodial solutions
  - Paying Agent services
  - Collective Investment Trusts
  - 3(38) Investment Services
- **All plan type serviced:**
  - 401(k), 403(b), 457, defined benefit, NQDC plans, etc.
  - Over 60,000 Plans serviced
- **$193+ billion in retirement plan assets**
- **Collective fund AUM over $25 billion**

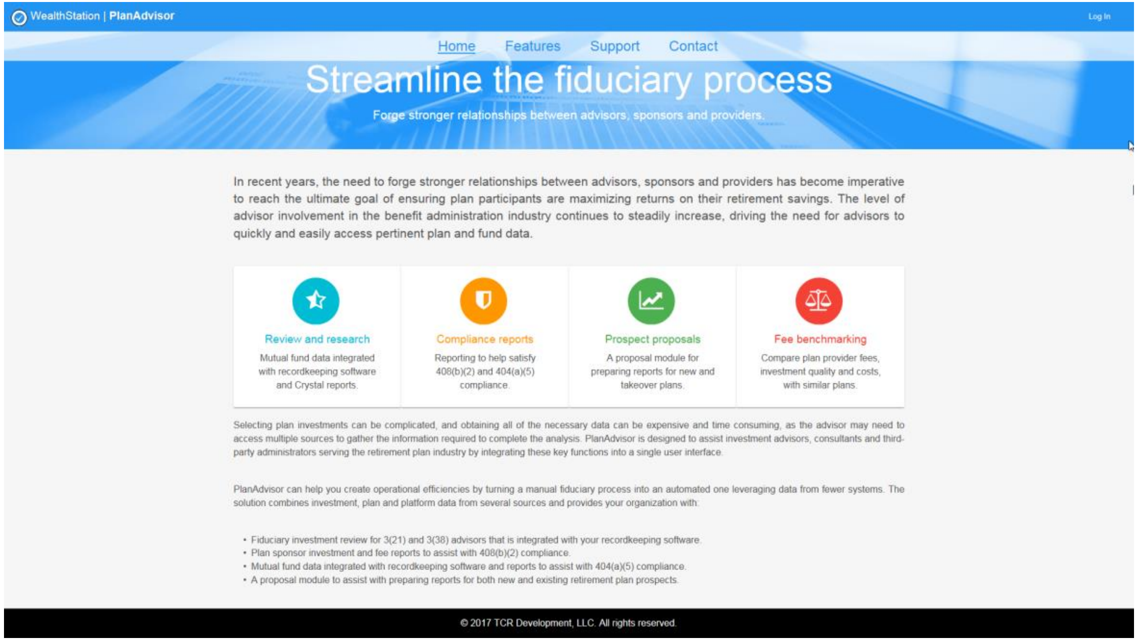
- **Reputation and client base/retention – viewed as “partner” versus “vendor”**
- **Trust company first with technological innovation and support**
- **Retirement plan services - core competency – growth focus**
- **One-stop shop**

Featured Solution

PlanAdvisor 2.0
(upgrade to be released in December 2017)

A comprehensive web-based solution for managing plan investments

- **Sales proposal generation**
  - Fee comparisons
  - Pre-built fund line-ups
- **Fund search & scoring**
  - Includes Morningstar Databases
- **Portfolio Models**
  - Create & rebalance portfolio models
  - Create custom benchmarks
- **Compliance Reports**
  - 408(b)2 & 404(a)5 fee disclosure
- **Fee Benchmarking (new)**
  - Benchmark a single Plan against entire Relius 408(b)2 fee database



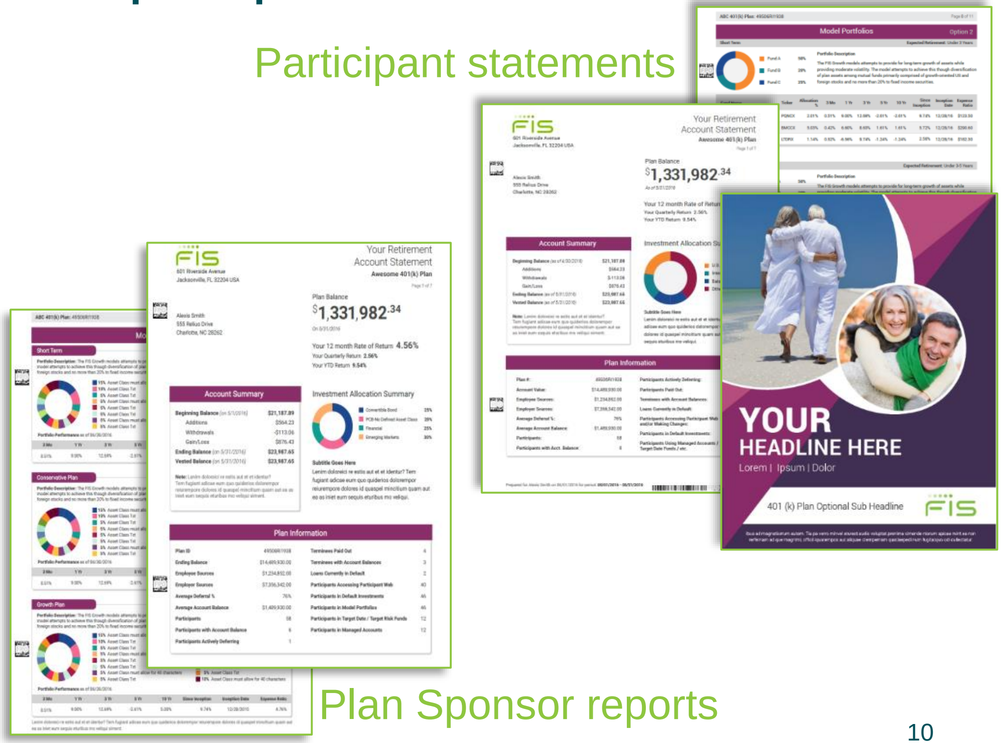
Featured Solution

Plan communication solutions

A comprehensive suite of both participant and plan sponsor communication:

- Participant statements
- Plan Sponsor reports
- Enrollment kits
- Fee disclosures (404(a)-5)
- Fund fact sheets
- Web presentment of investment data

Participant statements

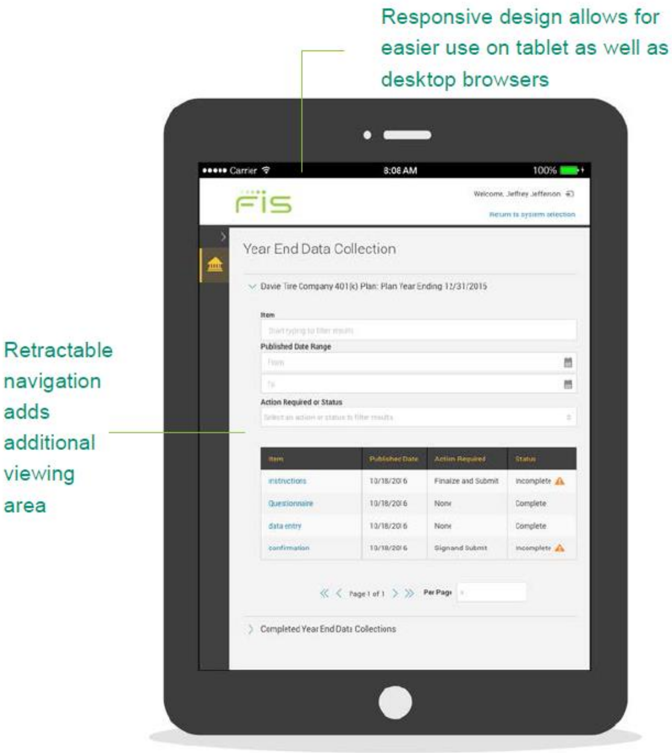


Plan Sponsor reports

Featured Solution

Automated plan data collection solutions

- **Create customizable year end data collection (YEDC) processes that consist of plan level questionnaires, instructions and notifications**
- **Prefill questionnaires and census with data from Relius Administration**
- **Establish contact access to YEDC**
- **Publish YEDC for plan sponsor review**
- **Validate YEDC payroll against year-to-date payroll for discrepancies**
- **Update and review information completed as part of YEDC directly in Relius Administration**



Featured Solution

Retirement outsourcing solutions

- Adapt quickly to changing market demands
- Accelerating growth with scalable and flexibility deployed platforms
- Achieve lower total cost of ownership

Prepare and adapt to regulatory change

Anticipate and respond to changing conditions

Make informed **decisions** guided by our regulatory and legal experts

Put the right **processes** in place to gain command over meeting requirements