

FIS Retirement Solutions

Relius/Reliance Trust Integration

RANDUG 2017

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Empowering
the Financial World 

Agenda

Welcome & Introductions

Relius/RTC Integration Objectives

Project Overview

Project Scope

What makes RTC solution better?

Q & A



Relius/RTC Integration Objectives



Relius/RTC Integration

Integrated custodial account services beyond the Financial Institution Link

VISION

Relius and Reliance Trust are building an integrated custodial account platform to compete in the marketplace as one organization:

FIS Retirement Solutions



Common leadership to coordinate efforts



Consolidated strength of global company



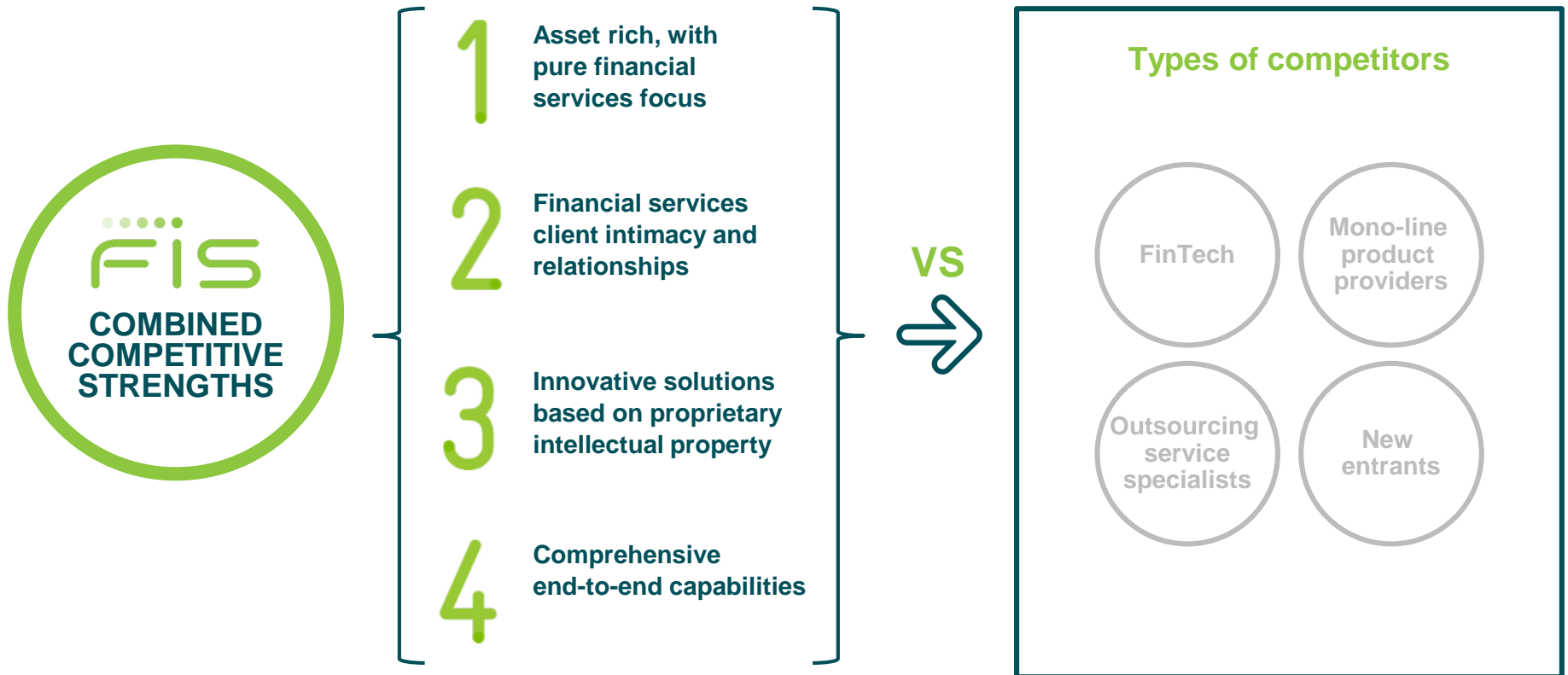
Innovating our software platforms



End-to-end servicing model

Industry-leading competitive position

FIS' solution breadth, market reach, client relationships and industry expertise to provide our clients with the resources to transform the way they do business



We serve the unique needs of financial services organizations



SOLUTION BREADTH

+400
solutions

6-7%
invested annually in R&D

BPO
and transformational services



MARKET REACH

848M
cards processed

10B
financial trade records
processed per hour

\$9T
moved globally every
year



INDUSTRY EXPERTISE

+40
years FinTech experience

+53,000
employees worldwide

48
countries represented with
employees

200
operational facilities
(90 in the U.S.)

Dedicated market practices



CLIENT RELATIONSHIPS

+22,000
clients

90% top 50 largest
global banks

85% world's top 20
private equity firms

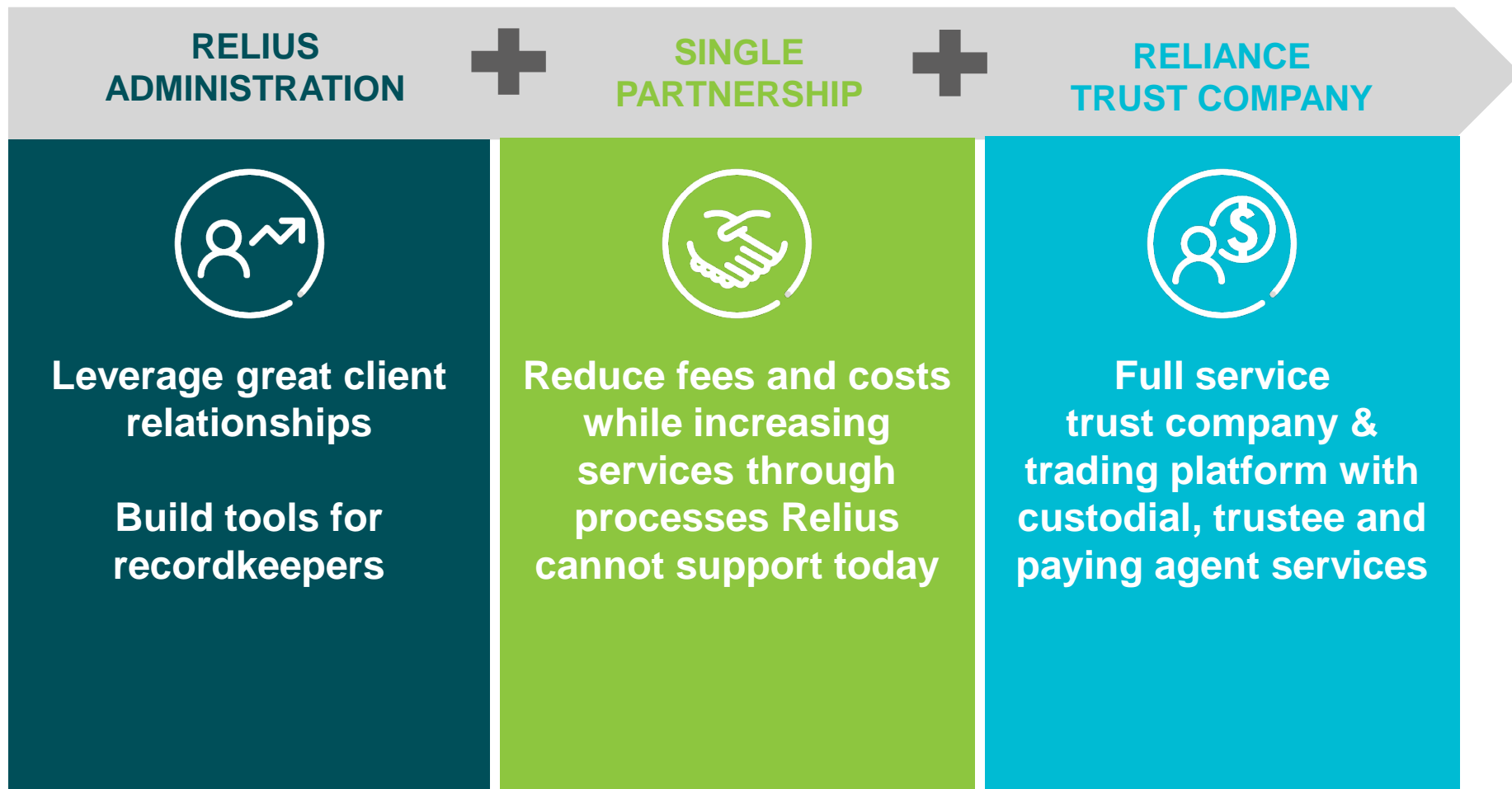
80% top 50 largest
asset managers

80% top 50 largest
insurance companies

Federal government and 40
state governments

Trust system product strategy

Fully integrated RTC custodial platform within Relius Administration



Relius Administration

Total solution retirement plan software system which automates clerical and administrative functions required for plan administration

Clients are TPAs, CPAs, banks and insurance companies

Used in over 950 offices nationwide

Over 250,000 retirement plans

More than 11.5 million participants

Fortune 500 parent company financial strength and #1 FINTECH company

End-to-end Suite of Integrated Relius programs

- **Documents**
- **Administration**
- **Year-End Data Collection**
- **Communications**
- **Government Forms**

Reliance Trust Company - GA

Reliance Trust is a people business. We devote priority attention to cultivating strong, lasting partnerships with our clients. These partnerships are built on trust.

- **Reliance Trust serves as custodian and is DTCC and NSCC direct**
- **Comprehensive suite of retirement plan products and services:**
 - Plan trustee, custody and accounting solutions
 - Collective trust fund trustee solutions
- **\$190+ billion in retirement assets**
- **Over 65,000 plans serviced**

**Servicing retirement plans
for over than 20 years**

Large business alliances with “high-touch” customized service delivery

**Reputation and client base/retention –
viewed as “partner” versus “vendor”**

**Trust company with technological
innovation and support behind us**

***Fortune 500 parent company financial
strength and #1 FINTECH company***

Relius/RTC Project & Scope Overviews



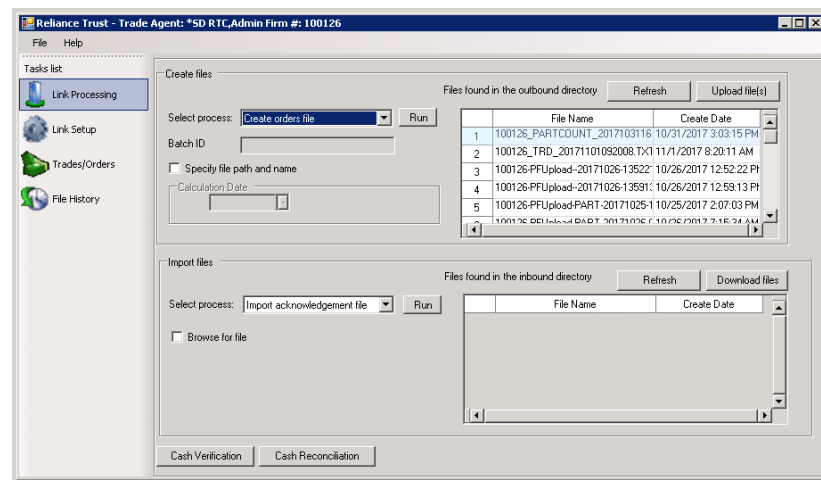
Project Overview

- **End to End servicing model**
 - Reliance Trust – GA (not DE - TrustDesk), Wealthstation, AddVantage and more
 - Tools you need to streamline your business
- **Start with a solid Foundation**
 - Trading Link
 - Participant Distributions Export Link
- **Multi-phased Integration Program**
 - Core functionality available by end of Q2 2017 (foundation)
 - Add valued enhancements dictated by the market needs
 - Other Product enhancements baked in
- **Phase 2 will include**
 - Plan Disbursements Link
 - ACH Contributions Link



Scope Overview

- **Phase 1.0 – Trading Link and Distribution Export Link – Available 11/11/17**
 - Main Console View
 - Main Trading: Trade & Order processing, Confirmations, Positions, Prices, Cash Balance, Transaction File and Dividend Income
 - Automated task list
 - Hands-free: Kick-off upon receipt of file, not dependent on scheduling
 - STP License not required
 - Consolidated event logging and Historical Reporting
 - Distribution: via check wire, ACH and related tax reporting
- **Phase 1.2 – Q2 2018**
 - ACH Contributions
 - Plan Level Distributions
 - Validation Error Files



Scope Overview

- **Phase 2.0 and Beyond**

- Trade Rejects
- Unitized Trades, Trade Confirmation and Prices
- 22c2 request/response export in NSCC format to Reliance Trust (RTC)
- Brokerage Account Transfers and Valuation
- Short Term Redemption Fee Processing

- **Future Considerations**

- Model Management Tool
- Automated New Fund Account Setup (B50)
- Cash Transfer between Accounts
- Check Status
 - Importing check details into Relius
 - Including status of record-keeper check requests
- Data Aggregation
- Analytics Reporting

Reliance Trust - Trade Agent: *SD RTC,Admin Firm #: 100126

Date range: Start: 10/04/2017, End: 11/03/2017

	Vendor Process	File Action	Date/Time	Status	Batch Id	Reports
1		Processed imported file	11/22/2017 1:27:08 PM	Successful		View
2	Import confirmations	Processed imported file	11/1/2017 9:53:18 AM	Successful		View
3	Create orders	Generated export file	11/1/2017 9:20:13 AM	Successful	8561290	View
4	Create part. count file	Generated export file	10/31/2017 4:03:16 PM	Successful		View
5	Import confirmations	Processed imported file	10/25/2017 2:50:25 PM	Successful		View
6	Import Income	Processed imported file	10/25/2017 1:29:35 PM	Successful		View
7	Import Income	Queued processing job	10/25/2017 1:29:20 PM	Successful		
8	Import prices	Received from vendor	10/24/2017 10:19:36 AM	Successful		
9	Import confirmations	Received from vendor	10/24/2017 10:19:36 AM	Successful		
10	Import transactions	Received from vendor	10/24/2017 10:19:36 AM	Successful		
11	Import prices	Received from vendor	10/24/2017 10:19:36 AM	Successful		
12	Import Cash Balance	Received from vendor	10/24/2017 10:19:36 AM	Successful		

Reliance Trust - Trade Agent: *SD RTC,Admin Firm #: 100126

Date range: Start: 10/23/2017, End: 11/03/2017, By: Order Date, Grouping level: Orders

	Cuip	Order ID	Order Date	Fund ID	Fund Name	Dollars
1	315805283	8561294	11/1/2017 9:20:09 AM	FSSMX	Fidelity Stock Selector Mid C	\$3.00
2	316062209	8561293	11/1/2017 9:20:09 AM	FLVEX	Fidelity Large Cap Value Enh	\$3.00
3	316146521	8561292	11/1/2017 9:20:09 AM	FCNVX	Fidelity Conservative Income	\$3.00
4	277905253	8561291	11/1/2017 9:20:09 AM	ELIFX	Eaton Vance Balanced I	\$3.00

Relius/RTC Integration Objectives



Relius/RTC Integrated Solution

RTC and Relius coming together under the FIS umbrella

True FIS Solution

First partnership
taking advantage
of synergies



Scott Parry

EVP for FIS Retirement Solutions
& President/CEO of Reliance Trust



Single solution provider
Cohesive services model



**Enhancements and services based on what Relius
users need**



Flexible and nimble
**Leverages two entities in the number one
FINTECH space**

Questions?





Thank you

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Empowering
the Financial World

The FIS logo consists of the letters 'FIS' in a white, sans-serif font. Above the 'I' are four small white dots arranged horizontally. The background features a large, semi-transparent green circle on the left side of the page.

FIS